AGICOMPLY | PROCUREMENT-GRADE EVIDENCE

SOC 2 READINESS EVIDENCE PREVIEW

A fictional, reviewer-traceable example for one AI service

Shows how supplied control evidence is inventoried, mapped, bounded, prioritized, and prepared for independent CPA handoff.

ILLUSTRATIVE PACKAGE BOUNDARY

SERVICE	Northstar Review Assistant (fictional)
REVIEW	Security category readiness; Type II preparation
CUTOFF	2026-07-31 23:59 UTC
OUTPUT	Five-deliverable readiness package

IMPORTANT BOUNDARY

This is not a SOC 2 report, certification, audit opinion, or control-effectiveness conclusion. An independent qualified CPA firm performs the examination and issues the report.

$3,500 ATO READINESS BASELINE

One AI service. One defined review context. No annual AGICOMPLY license.

Package SOC2-ILLUSTRATIVE-2026-001 | Source fingerprint a3206c181ebaeca8c94c...

DELIVERABLE 01

Evidence Inventory

Artifacts supplied for the fictional AI service, identified by collection date and content identity.

ID	ARTIFACT	TYPE	COLLECTED	CONTENT HASH
EV-001	Privileged access export	CSV	2026-07-30	8e8f4bd8a1b7b4d5
EV-002	Access review procedure	PDF	2026-07-29	9d9706b41a10a84c
EV-003	Approved production changes	JSON	2026-07-31	86b9f452ab6dd9a7
EV-004	Incident exercise record	PDF	2026-06-18	6c34fb5f035e5f61
EV-005	Recovery test record	PDF	2026-05-20	c19291a4702167bf
EV-006	AI service change register	CSV	2026-07-31	ad78e4e764e9fd23

WHAT SURVIVES REVIEW

The inventory does not treat possession as sufficiency. It preserves which artifact was examined, when it was collected, and which exact content was included.

EVIDENCE LIMITATION

The hashes shown here are shortened for readability. A production package records the full content hash and the final package manifest.

DELIVERABLE 02

Readiness Mapping Summary

Illustrative relationships between supplied artifacts and readiness questions. These are not CPA conclusions.

AREA	READINESS QUESTION	EVIDENCE	STATUS	RATIONALE
Security	Logical-access evidence	EV-001, EV-002	SUPPORTED	Current population and procedure are present; approval history still needs period validation.
Security	Change authorization traceability	EV-003	CONDITIONAL	Approval records exist for 9 of 11 sampled production changes.
Security	Incident-response operation	EV-004	SUPPORTED	Exercise record identifies participants, scenario, findings, and follow-up owner.
Availability candidate	Recovery-test evidence	EV-005	CONDITIONAL	Test record exists; recovery-time result and exception closure are incomplete.
AI customer assurance	Model-change governance	EV-006	CONDITIONAL	Changes are listed; two entries lack accountable acceptance decisions.

LICENSED-SOURCE BOUNDARY

Exact Trust Services Criteria identifiers and wording are intentionally excluded from this public preview. The authorized source, applicable categories, and mapping scope are confirmed for the engagement.

HUMAN ACCEPTANCE

Automation may propose relationships. A named reviewer accepts, conditions, or rejects each mapping before it enters the package.

DELIVERABLE 03

Gap Register

Missing, weak, or time-bounded evidence conditions that limit reliance on the current record.

ID	PRIORITY	EVIDENCE CONDITION	OWNER
G-01	HIGH	No completed privileged-access review is evidenced for Q2 2026.	Security lead
G-02	HIGH	Two of eleven sampled production changes lack retained approval evidence.	Engineering lead
G-03	MEDIUM	Recovery test record omits achieved recovery time and exception closure.	Platform lead
G-04	MEDIUM	Two AI service changes lack an accountable acceptance decision.	AI product owner

DECISION VALUE

The register makes unsupported representations visible before they are repeated in a security questionnaire, readiness statement, or CPA handoff.

POINT-IN-TIME STATUS

A gap can be closed only with new evidence and a new review decision. The earlier package remains historically intact.

NO OUTCOME GUARANTEE

Closing these example gaps improves evidence readiness. It does not guarantee a successful examination or any particular service auditor conclusion.

DELIVERABLE 04

Ordered Remediation Plan

A sequence tied to evidence reliance and the active review, not a generic policy backlog.

ORDER	ACTION	REVIEW EFFECT	OWNER
1	Complete and approve the Q2 privileged-access review; retain population, reviewer, exceptions, and closure.	Closes the highest-reliance operating-period gap.	Security lead
2	Reconcile the two production changes to approvals or record them as exceptions with disposition.	Prevents unsupported change-control representations.	Engineering lead
3	Complete recovery results and exception closure, then schedule the next recurring test.	Establishes a reviewable availability evidence trail.	Platform lead
4	Record accountable acceptance for the two AI service changes and link each decision to the change record.	Supports AI-specific customer assurance responses.	AI product owner

WHY ORDER MATTERS

The first actions address conditions most likely to block reviewer reliance. Later work strengthens completeness without obscuring the immediate buying or examination dependency.

OWNERSHIP

Every action has an accountable owner and a review effect. Completion requires supporting evidence, not a checked task alone.

DELIVERABLE 05

Chain-of-Custody Statement

BOUNDARY	Northstar Review Assistant (fictional) | SOC 2 readiness, Security category; Type II operating-period preparation
EVIDENCE CUTOFF	2026-07-31 23:59 UTC
SOURCE IDENTITY	Canonical sample-data SHA-256: a3206c181ebaeca8c94c35079595fafd492deb1cb485c01d04e965be89eeec84
REVIEW STATE	Each mapping is Supported, Conditional, or Gap; no automated score establishes compliance.
CHANGE RULE	A changed artifact, framework source, scope, or accepted relationship requires re-review and a new package version.
AUTHORITY	AGICOMPLY prepares readiness evidence. The independent qualified CPA firm defines and performs the examination and issues the SOC 2 report.

NEXT STEP

Establish what your current evidence can support.

$3,500 fixed scope | One AI service | One defined review context

AGICOMPLY.COM/SOC-2-READINESS