

Illustrative ATO Readiness Baseline

A reviewer-facing example of the fixed-scope evidence package delivered for one defined AI system and point-in-time review.

SYSTEM	Acquisition Document Review Assistant
ORGANIZATION	Northstar Federal Services (fictional)
ASSESSMENT DATE	July 31, 2026
EVIDENCE CUTOFF	July 30, 2026 17:00 ET
FRAMEWORK SCOPE	NIST AI RMF 1.0 NIST SP 800-53 Rev. 5 - selected review controls
PACKAGE ID	AGC-DEMO-ATO-001 / v1.0

ILLUSTRATIVE
BOUNDARY

This package uses a fictional organization and system. Control references and evidence records demonstrate package structure only. AGICOMPLY does not certify compliance or issue an Authorization to Operate.

DELIVERABLE 01

Evidence Inventory

Artifacts recorded for the selected AI system, with collection date and content identity. Hashes below are generated for this fictional example.

ID	ARTIFACT	TYPE	COLLECTED	CONTENT HASH
E-001	System boundary and data-flow record	Architecture record	2026-07-18	27c99a7617c0...a6714f8f
E-002	Human review and escalation procedure	Procedure	2026-07-21	820bcc26f17e...3f40fa41
E-003	Model evaluation and limitations report	Test report	2026-07-24	03e48ca8dd05...2a7b1526
E-004	Privileged access role matrix	Access record	2026-07-25	16a2d8aee944...1c3b7cc2
E-005	Decision and activity audit export	Forensic artifact	2026-07-30	8f07f53e1a7b...73e9332a

SOURCE AND VERSION ATTRIBUTION	Framework scope is pinned to NIST AI RMF 1.0 and NIST SP 800-53 Rev. 5 for this illustrative assessment date. The package does not reproduce licensed or authoritative framework text.
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DELIVERABLE 02

Mapping Summary

Only reviewer-accepted or explicitly conditional relationships appear. Candidate scoring can support examination; it does not establish compliance.

FRAMEWORK / REQUIREMENT	EVIDENCE	STATUS	REVIEW RATIONALE
NIST AI RMF 1.0 GOVERN - accountability	E-002	ACCEPTED	Human review procedure identifies accountable roles and escalation ownership.
NIST AI RMF 1.0 MEASURE - evaluation	E-003	ACCEPTED	Evaluation record documents test scope, limitations, and reviewer conclusion.
NIST SP 800-53 Rev. 5 AC-6 Least Privilege	E-004	ACCEPTED	Role matrix supports examination of privileged access boundaries.
NIST SP 800-53 Rev. 5 AU-3 Content of Audit Records	E-005	ACCEPTED	Audit export contains actor, action, time, system, and outcome fields.
NIST SP 800-53 Rev. 5 CA-7 Continuous Monitoring	E-005	CONDITIONAL	Monitoring evidence is point-in-time; recurring review cadence is not yet demonstrated.

REVIEW ATTRIBUTION	Illustrative reviewer: Assurance Architecture. Review decision recorded July 31, 2026. Accepted relationships are bounded to the named artifact, requirement label, framework version, and assessment date.
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DELIVERABLE 03

Gap Register

Missing, weak, or time-bounded evidence conditions that limit reliance on the current package.

ID	PRIORITY	EVIDENCE CONDITION	OWNER
G-01	HIGH	Recurring monitoring cadence is not demonstrated.	Security Operations
G-02	HIGH	Independent validation does not cover the latest prompt-policy update.	Model Assurance
G-03	MEDIUM	Evidence retention period is not recorded in the supplied package.	Governance Lead

DELIVERABLE 04

Ordered Remediation Plan

ORDER	ACTION	REVIEW EFFECT	OWNER
1	Approve and execute the updated validation protocol	Closes G-02 before external review	Model Assurance
2	Record monitoring cadence, responsible role, and review outputs	Strengthens CA-7 support	Security Operations
3	Approve an evidence retention schedule and preserve the approval record	Closes G-03	Governance Lead

DELIVERABLE 05

Chain-of-Custody Statement

This illustrative package records a point-in-time relationship among the evidence supplied, its content identity, the assigned framework version, the reviewer decision, and the package manifest.

Evidence identity	Five artifact records include deterministic SHA-256 content identities.
Framework identity	NIST AI RMF 1.0 and NIST SP 800-53 Rev. 5 are pinned to the assessment date.
Reviewer decision	Accepted and conditional mappings are distinguished and attributed.
Package identity	Manifest SHA-256: 1f98c46e07e72cdd81b16f167565b8bc6a5ea7c282d814874be3a1e684b62e1a
Historical boundary	Later changes require a new package version rather than silent modification of this point-in-time record.

ASSESSMENT BOUNDARY

AGICOMPLY evaluates the existence, integrity, traceability, relevance, and reviewability of supplied evidence within a defined scope. It does not certify compliance, independently operate originating controls, issue an Authorization to Operate, or guarantee a procurement outcome.

APPLY THIS STRUCTURE TO YOUR AI SYSTEM

ATO Readiness Baseline

\$3,500 fixed scope. Evidence Inventory, Mapping Summary, Gap Register, Ordered Remediation Plan, and Chain-of-Custody Statement.

Start at [AGICOMPLY.com/ato-readiness-baseline](https://agicomply.com/ato-readiness-baseline)